

2153

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent of application of:

SMITH et al.

Serial No. 09/785,438

Filed: February 20, 2001

Title: INDIVIDUALIZED NETWORK INFORMATION
SERVER



Group Art Unit: unknown

Examiner: unknown

Client Reference: SMITH

Attorney Docket: 20-433

RECEIVED

MAY 21 2003

INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Director of the United States Patent and Trademark Office

Washington, D.C. 20231

Sir:

Attached is form PTO-1449 listing the enclosed documents.

Should a first action on the merits have been issued on the same day or before this Information Disclosure Statement is filed, please accept this Information Disclosure Statement under Rule 97 (c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-0687, under order number 20-433 and proceed to consider this Information Disclosure Statement.

This information Disclosure Statement is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under Rule 97(f), to enable the Applicant to comply fully.

05/21/2003 AWONDAF1 00000024 500687 09785438

01 FC:1806 180.00 CH

Consideration of the foregoing and enclosures plus the return of a copy of the herewith Form PTO-1449 with the Examiner's initials in the left column per MPEP 609 along with an early action on the merits of this application are earnestly solicited.

Respectfully submitted,

5/20/03
Date

William H. Bollman
William H. Bollman
Reg. No. 36,457

Manelli Denison & Selter PLLC
2000 M Street, N.W.
Suite 700
Washington, D.C. 20036-3307
202-261-1000

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: SMITH et al.

Appln. No.: 09/785,438

Filing Date: February 20, 2001

Examiner: UNKNOWN

GAU: UNKNOWN

Date: May 20, 2003

Page 1 of 11

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/DD/YY	Name (Family Name of First Inventor)	Class	SubClass	Filing Date (if appropriate)
AR	5,740,534	4/14/98	Ayerst et al.			
BR	6,266,614 B1	7/24/01	Alumbaugh			
CR	5,673,306	9/30/97	Amadon et al.			
DR	6,148,198	11/14/00	Anderson et al.			
FR	5,579,372	11/26/96	Astrom			
FR	5,943,399	8/24/99	Bannister et al.			
GR	6,101,378	8/8/00	Barabash et al.			
HR	6,148,197	11/14/00	Bridges et al.			
IR	6,226,529 B1	5/1/01	Bruno et al.			
JR	5,950,130	9/7/99	Coursey			
KR	5,974,054	10/26/99	Couts et al.			
LR	6,122,503	9/19/00	Daly			
MR	5,802,492	9/1/98	Del orme et al.			
NR	6,289,373 B1	9/11/01	Dezonno			

RECEIVED

MAY 21 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	English		Translation	
							Enclosed	No	Enclosed	No
OR										
PR										
QR										
RR										
SR										
TR										
UR										
VR										

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

WR										
XR										
YR										
ZR										
AAR										
BBR										

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Bollman

20-433

SMITH

Applicant: SMITH et al.

Appln. No.: 09/785,438

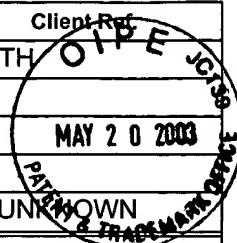
Filing Date: February 20, 2001

Examiner: UNKNOWN

GAU: UNKNOWN

Date: May 14, 2003

Page 2 of 11



U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/DD/YY	Name (Family Name of First Inventor)	Class	SubClass	Filing Date (if appropriate)
	AR	6,075,982	Donovan et al.			
	BR	5,692,037	Friend			
	CR	6,198,431 B1	Gibson			
	DR	6,199,045	Giniger et al.			
	ER	6,169,891 B1	Gorham et al.			
	FR	6,181,935 B1	Gossman et al.			
	GR	6,317,594 B1	Gossman et al.			
	HR	5,768,509	Gunluk			
	IR	6,223,046 B1	Hamill-Keays et al.			
	JR	6,035,025	Hanson			
	KR	6,058,300	Hanson			
	LR	5,953,398	Hill			
	MR	5,797,094	Houde et al.			
	NR	5,822,700	Hult et al.			

RECEIVED

MAY 21 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClasses	English		Translation	
							Enclosed	No	Enclosed	No
	OR									
	PR									
	QR									
	RR									
	SR									
	TR									
	UR									
	VR									

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	WR									
	XR									
	YR									
	ZR									
	AAR									
	BBR									

Examiner	Date Considered:
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	

Atty. Bollman	M# 20-433	Client Ref. SMITH
Applicant: SMITH et al.		
Appln. No.: 09/785,438		
Filing Date: February 20, 2001		
Examiner: UNKNOWN		GAU: UNKNOWN

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date: May 20, 2003

Page 3 of 11

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/DD/YY	Name (Family Name of First Inventor)	Class	SubClass	Filing Date (if appropriate)
AR	5,987,323	11/16/99	Huotari			
BR	4,706,275	11/10/87	Kamil			
CR	5,828,740	10/27/98	Khuc et al			
DR	5,592,535	1/7/97	Klotz			
FR	5,351,235	9/27/94	Lahtinen			
FR	5,978,685	11/2/99	Laiho			
GR	6,188,752 B1	2/13/01	Lesley			
HR	6,173,181 B1	1/9/01	Losh			
IR	5,797,096	8/18/98	Lupien et al			
JR	5,761,618	6/2/98	Lynch et al			
KR	5,068,891	11/26/91	Marshall			
LR	6,327,479 B1	12/4/01	Mikkola			
MR	5,999,811	12/7/99	Molne			
NR	6,064,875	5/16/00	Morgan			

RECEIVED

MAY 21 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass s	English		Translation	
							Enclosed	No	Enclosed	No
OR										
PR										
QR										
RR										
SR										
TR										
UR										
VR										

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

WR										
XR										
YR										
ZR										
AAR										
BBR										

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: SMITH et al.

Appln. No.: 09/785,438

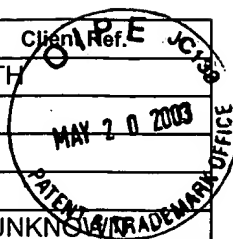
Filing Date: February 20, 2001

Examiner: UNKNOWN

GAU: UNKNOWN

Date: May 20, 2003

Page 4 of 11



U.S. PATENT DOCUMENTS

Examiner's Initials*		Document Number	Date MM/DD/YY	Name (Family Name of First Inventor)	Class	SubClass	Filing Date (if appropriate)
	AR	6,249,744 B1	6/19/01	Morita			
	BR	6,070,067	5/30/00	Nguyen et al.			
	CR	6,049,710	4/11/00	Nilsson			
	DR	6,149,353	11/21/00	Nilsson			
	ER	5,774,533	6/30/98	Patel			
	FR	6,396,913	5/28/02	Perkins, III			
	GR	6,208,854 B1	3/27/01	Roberts et al.			
	HR	5,905,736	5/18/99	Rohen et al.			
	IR	5,628,051	5/6/97	Salin			
	JR	5,682,600	10/28/97	Salin			
	KR	5,787,357	6/28/98	Salin			
	LR	5,946,629	8/31/99	Sawyer et al.			
	MR	5,920,821	6/6/99	Seazholtz et al.			
	NR	5,767,795	6/16/98	Schaphorst			

RECEIVED

MAY 21 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

		Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass s	English		Translation	
								Enclosed	No	Enclosed	No
	OR										
	PR										
	QR										
	RR										
	SR										
	TR										
	UR										
	VR										

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	WR										
	XR										
	YR										
	ZR										
	AAR										
	BBR										

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Atty.

M#

Client Ref.

Bollman

20-433

SMITH

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: SMITH et al.

Appln. No.: 09/785,438

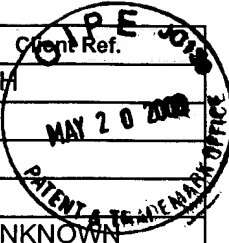
Filing Date: February 20, 2001

Examiner: UNKNOWN

GAU: UNKNOWN

Date: May 20, 2003

Page 5 of 11



U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/DD/YY	Name (Family Name of First Inventor)	Class	SubClass	Filing Date (if appropriate)
	AR	5,930,701	7/27/99	Skog		
	BR	5,794,142	8/11/98	Vanttila et al.		
	CR	5,806,000	9/8/98	Vo et al.		
	DR	6,122,520	9/19/00	Want et al.		
	ER	6,249,680 B1	6/19/01	Wax et al.		
	FR	6,081,508	6/27/00	West et al.		
	GR	5,946,630	8/31/99	Willars et al.		
	HR	6,205,330 B1	3/20/01	Winblad		
	IR					
	JR					
	KR	5,797,091	8/18/98	Clise et al.		
	LR	5,343,493	8/30/94	Karimullah		
	MR	5,479,482	12/26/95	Grimes		
	NR	5,519,403	5/21/96	Bickley et al.		

RECEIVED

MAY 21 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass s	English		Translation	
							Enclosed	No	Enclosed	No
	OR									
	PR									
	QR									
	RR									
	SR									
	TR									
	UR									
	VR									

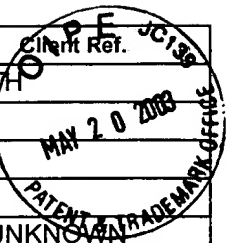
OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	WR									
	XR									
	YR									
	ZR									
	AAR									
	BBR									

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Bollman

20-433

SMITH

Applicant: SMITH et al.

Appln. No.: 09/785,438

Filing Date: February 20, 2001

Examiner: UNKNOWN

GAU: UNKNOWN

Date: May 20, 2003

Page 6 of 11

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/DD/YY	Name (Family Name of First Inventor)	Class	SubClass	Filing Date (if appropriate)
	AR	5,535,434	Siddoway et al.			
	BR	4,891,638	Davis			
	CR	5,070,329	Jasinaki			
	DR	5,068,656	Sutherland			
	ER	5,119,104	Heller			
	FR	5,177,478	Wagai et al.			
	GR	5,363,425	Mufti et al.			
	HR	5,387,993	Heller et al.			
	IR	5,485,163	Singer et al.			
	JR	5,479,408	Will			
	KR	5,497,149	East			
	LR	5,513,243	Kage			
	MR	5,588,009	Will			
	NR	5,604,486	Lauro et al.			

RECEIVED

MAY 21 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	English		Translation	
							Enclosed	No	Enclosed	No
	OR									
	PR									
	QR									
	RR									
	SR									
	TR									
	UR									
	VR									

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	WR									
	XR									
	YR									
	ZR									
	AAR									
	BBR									

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Atty.

M#

Client Ref

Bollman

20-433

SMITH

Client Ref

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: SMITH et al.

Appln. No.: 09/785,438

Filing Date: February 20, 2001

Examiner: UNKNOWN

GAU: UNKNOWN

Date: May 20, 2003

Page 7 of 11

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/DD/YY	Name (Family Name of First Inventor)	Class	SubClass	Filing Date (if appropriate)
AR	5,606,313	2/25/97	Allen et al.			
BR	5,614,890	3/25/97	Fox			
CR	4,494,119	1/15/85	Wimbush			
DR	4,651,156	3/17/87	Martinez			
ER	4,891,650	1/2/90	Sheffer			
FR	5,014,206	5/7/91	Scribner et al.			
GR	5,055,851	10/8/91	Sheffer			
HR	5,208,756	5/4/93	Song			
IR	5,218,367	6/8/93	Sheffer et al.			
JR	5,223,844	6/29/93	Mansell et al.			
KR	5,289,527	2/22/94	Tiedemann, Jr.			
LR	5,293,642	3/8/94	Lo			
MR	5,379,451	1/3/95	Nakagoshi et al.			
NR	5,347,568	9/13/94	Moody et al.			

RECEIVED

MAY 21 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	English		Translation	
							Enclosed	No	Enclosed	No
OR										
PR										
QR										
RR										
SR										
TR										
UR										
VR										

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

WR										
XR										
YR										
ZR										
AAR										
BBR										

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Atty.

M#

Client Ref.

Bollman

20-433

SMITH

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: SMITH et al.

Appl. No.: 09/785,438

Filing Date: February 20, 2001

Examiner: UNKNOWN

GAU: UNKNOWN

Date: May 20, 2003

Page 8 of 11

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/DD/YY	Name (Family Name of First Inventor)	Class	SubClass	Filing Date (if appropriate)
	AR	5,374,936	12/20/94	Feng		
	BR	5,390,339	2/14/95	Bruckert et al		
	CR	5,394,158	2/28/95	Chia		
	DR	5,398,190	3/14/95	Wortham		
	FR	5,406,614	4/11/95	Hara		
	FR	5,423,076	6/6/95	Westergreen et al		
	GR	5,432,841	7/11/95	Rimer		
	HR	4,952,928	8/28/90	Carroll et al		
	IR	5,214,789	5/25/93	George		
	JR	5,266,944	11/30/93	Carrol et al		
	KR	5,325,302	6/28/94	Izidon et al		
	LR	5,361,212	11/1/94	Class et al		
	MR	5,381,338	1/10/95	Wysocki et al		
	NR	5,396,227	3/7/95	Carroll et al		

RECEIVED

MAY 21 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	English		Translation	
							Enclosed	No	Enclosed	No
	OR									
	PR									
	QR									
	RR									
	SR									
	TR									
	UR									
	VR									

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	WR									
	XR									
	YR									
	ZR									
	AAR									
	BBR									

Examiner: _____ Date Considered: _____

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: SMITH et al.

Appln. No.: 09/785,438

Filing Date: February 20, 2001

Examiner: UNKNOWN

GAU: UNKNOWN

Date: May 20, 2003

Page 9 of 11

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/DD/YY	Name (Family Name of First Inventor)	Class	SubClass	Filing Date (if appropriate)
AR	5,418,537	5/23/95	Bird			
BR	5,434,789	7/18/95	Fraker et al.			
CR	5,461,390	10/24/95	Hoshen			
DR	5,470,233	11/28/95	Fuchterman et al.			
ER	5,485,161	1/16/96	Vaughn			
FR	5,488,563	1/30/96	Chazelle et al.			
GR	5,508,931	4/16/96	Snider			
HR	5,515,287	5/7/96	Hakoyama et al.			
IR	5,532,690	7/2/96	Hertel			
JR	5,539,398	7/23/96	Hall et al.			
KR	5,552,772	9/3/96	Janky et al.			
LR	5,568,119	10/22/96	Schipper et al.			
MR	5,574,648	11/12/96	Pilley			
NR	5,606,850	3/4/97	Nakamura			

RECEIVED

MAY 21 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass s	English		Translation	
							Enclosed	No	Enclosed	No
OR										
PR										
QR										
RR										
SR										
TR										
UR										
VR										

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

WR										
XR										
YR										
ZR										
AAR										
BBR										

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: SMITH et al.

Appl. No.: 09/785,438

Filing Date: February 20, 2001

Examiner: UNKNOWN

GAU: UNKNOWN

Date: May 20, 2003

Page 10 of 11

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/DD/YY	Name (Family Name of First Inventor)	Class	SubClass	Filing Date (if appropriate)
	AR	5,610,815	Gudat et al.			
	BR	5,615,116	Gudat et al.			
	CR	5,621,793	Bednarak et al.			
	DR	5,235,630	Moodey et al.			
	ER	5,543,776	L'esperance et al.			
	FR	5,633,912	Tsoi			
	GR	5,454,024	Lebowitz			
	HR	5,334,974	Simms et al.			
	IR	5,299,132	Wortham			
	JR	5,193,215	Olmer			
	KR	5,144,283	Arens et al.			
	LR	5,555,286	Tendler			
	MR	5,388,147	Grimes			
	NR	5,081,667	Drori et al.			

RECEIVED

MAY 21 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	English		Translation	
							Enclosed	No	Enclosed	No
	OR									
	PR									
	QR									
	RR									
	SR									
	TR									
	UR									
	VR									

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	WR									
	XR									
	YR									
	ZR									
	AAR									
	BBR									

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant

Atty.

M#

Client Ref

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Bollman

20-433

SMITH

Applicant: SMITH et al.

Appln. No.: 09/785,438

Filing Date: February 20, 2001

Examiner: UNKNOWN

GAU: UNKNOWN

Date: May 20, 2003

Page 11 of 11

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/DD/YY	Name (Family Name of First Inventor)	Class	SubClass	Filing Date (if appropriate)
AR	1,103,073	7/14/14	O'Connell			
BR	5,043,736	8/27/91	Darnell et al			
CR	5,161,180	11/3/92	Chavous			
DR	5,239,570	8/24/93	Koster et al			
ER						
FR						
GR						
HR						
IR						
JR						
KR						
LR						
MR						
NR						

RECEIVED

MAY 21 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClasses	English		Translation	
							Enclosed	No	Enclosed	No
OR										
PR										
QR										
RR										
SR										
TR										
UR										
VR										

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

WR										
XR										
YR										
ZR										
AAR										
BBR										

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.